

Talk 18<sup>th</sup> January: Rational filling conditions for torsion free sheaves

recall: Let  $R$  be a complete discrete valuation ring over  $S$  and let  $\bar{w}$  be a uniformizer, we set

$$Y_{\theta_R} := \text{Spec}(R[t]) \quad \text{and} \quad Y_{\overline{ST}_R} := \text{Spec}(R[t, t^{-1}] / \langle t - \bar{w} \rangle)$$

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$$\theta_R := [Y_{\theta_R} / G_m] \quad \text{and} \quad \overline{ST}_R := [Y_{\overline{ST}_R} / G_m]$$

definition: Let  $M_0$  be either  $\text{Loc}^d$ ,  $\text{Pois}^d$  or  $\text{Ab}^d$ . Let  $\mathcal{X}$  be either  $\theta_R$  or  $\overline{ST}_R$ . We say

that  $M_0$  admits the  $\mathcal{X}$  rational filling condition if:

For all morphisms  $f: \mathcal{X} \setminus (0,0) \rightarrow M_0$  there exists a morphism  $g: \mathcal{X} \rightarrow M_{\text{rat}}$  and a 2-commutative

diagram of presheaves:

$$\begin{array}{ccc} \mathcal{X} \setminus (0,0) & \xrightarrow{f} & M_0 \\ \downarrow \uparrow & \swarrow & \downarrow \\ \mathcal{X} & \xrightarrow{g} & M_{\text{rat}} \end{array}$$

where  $\uparrow$  is the inclusion  $\mathcal{X} \setminus (0,0) \hookrightarrow \mathcal{X}$

Lemma 4.6: Suppose that the stack  $\text{Loc}^d(X)$  admits  $\theta_R$  rational filling condition (resp.  $\overline{ST}_R$ )

then both  $\text{Pois}_{\mathbb{A}^1}^d(X)$  and  $\text{Ab}^d(X)$  admit  $\theta_R$  rational filling condition (resp.  $\overline{ST}_R$ ).

proof idea: Note that a  $G_m$ -equivariant morphism  $\gamma: (0,0)^{\cong W} \rightarrow M$  is equivalent to a  $W$ -pure sheaf  $\mathcal{F}$  of dim  $d$  on  $X_W$  and a  $G_m$ -equivariant morphism that gives  $\mathcal{F}$  the structure that is lacking to belong to  $M$ . by the hypothesis we just have to extend  $\beta$  to the rational "image", achieved by

[Sta 20, Tag 0E9I]

Now we study the filling condition in the case when the fibres of  $X \rightarrow S$  are geometrically integral of dimension  $d$ . In this case pure sheaves are just torsion free.

Lemma. Suppose that the morphism  $X \rightarrow S$  is flat with geometrically integral fibres of dimension  $d$ . Then  $\text{coh}^d(X)$  admits both filling conditions.

proof: Let  $R$  be a complete discrete valuation ring over  $S$ . Let  $k$  be the residue field of  $R$  and let  $K$  be its fraction field. Let  $\mathcal{X}$  be either  $\mathcal{O}_R$  or  $\overline{ST}_R$  and  $\gamma = \gamma_{\mathcal{X}}$ . We write  $W$  for the open complement of  $(0,0)$  in  $\gamma$  and  $f: W \hookrightarrow \gamma$ . Let  $X_R$  be the resulting pullback through  $\text{spec } R \rightarrow S$ ,  $X_Y$  is obtained by further pullback through  $\gamma \rightarrow \text{spec } R$ .

Suppose that we are given  $f: \mathcal{X} \rightarrow \text{coh}^d(X)$  this amounts to a  $G_m$ -equivariant  $W$ -flat family  $\mathcal{F}$  of torsion free sheaves on  $X_W$ .

Note also that a principal subscheme of  $X_Y$  is regular with respect to a torsion free sheaf iff it is a  $\gamma$ -relative Cartier divisor.

Thus proving the filling condition is equivalent to building:

- (1) A  $G_m$ -equivariant  $\gamma$ -pure sheaf  $\mathcal{E}$  of dimension  $d$  on  $X_Y$ .
- (2) A  $G_m$ -equivariant relative Cartier divisor  $D \hookrightarrow X_Y$ .
- (3) A  $G_m$ -equivariant morphism  $\psi: \mathcal{E}|_{X_W} \rightarrow \mathcal{F}$  st.  $\psi|_{X_W \setminus D_W}$  is an iso.

The idea: for (1), note that since  $X_{(0,0)}$  is integral then for most points in  $X_{(0,0)}$   $\widehat{\mathcal{R}} := (\mathcal{O}_X)_* \mathcal{R}$  is a free graded module (i.e. it is of the form  $\bigoplus_{i \in \mathbb{I}} \mathcal{O} \langle m_i \rangle$ )

So we extend this to the whole  $X_Y$  by setting

$$\mathcal{E} = \bigoplus_{i \in \mathbb{I}} \mathcal{O}_{X_Y} \langle m_i \rangle.$$

for (2), note that we can find a big enough  $n \gg 0$  st. there is a section  $s_0 \in H^0(X_{(0,0)}, \mathcal{O}(n))$  which vanishes at points where  $\widehat{\mathcal{R}}$  is not the free graded module described before (inside  $X_{(0,0)}$ )

this section is extended to  $X_Y$  "by Gm-invariance" and thus its vanishing locus is a Cartier divisor

for (3), by construction they are both isomorphic outside of  $D$ , but inside of  $X_{(0,0)}$ , all  $\Psi$  this is is.

So we extend  $\Psi$  in two steps, first extend to  $X_Y \setminus D$  which can be done because the open complement of  $X_Y \setminus D$  is affine and the fact that  $\mathcal{E}$  restricted to this open set is locally free. Then extend to the whole  $X_Y$  by twisting  $\mathcal{E}$  if necessary, this extension will be called  $\overline{\Psi}$ .

Now we adjust the construction by removing the points where  $\overline{\Psi}$  is not surjective

↳ this amounts to be a Cartier divisor,  $D'$

adjust the divisor by setting  $D+D'$  as the divisor we are looking for. Then by construction

$\overline{\Psi}$  is an iso away from  $D+D'$  between  $\mathcal{E}$  and  $\mathcal{R}$ .

A different sort of numerical invariant

- How to construct polynomial numerical invariants on a stack from a sequence of rational line

bundles  $(L_m)_{m \in \mathbb{Z}} \in \text{Pic}(\mathcal{M}) \otimes \mathbb{Q}$ .

$\rightarrow$  for each  $g: (\mathbb{B}G_m^9)_k \rightarrow \mathcal{M}$  the pullback  $g^* L_m$  is determined by a character in  $X^*(G_m^9) \otimes \mathbb{Q} \cong \mathbb{Q}^9$

i.e. a 9-tuple  $(w_m^i)_{i=1}^9$  of rat numbers (called the weight of  $g^* L_m$ ).

If the  $L_m$  are well chosen st: fixing  $i_0$  results in a  $w_m^{i_0}: \mathbb{Z} \rightarrow \mathbb{Q}$  a  $\mathbb{Z}$ -valued polynomial then we can

define an  $\mathbb{R}$ -linear function:

$$L_g: \mathbb{R}^9 \rightarrow \mathbb{R}[m]$$
$$(\pi_i)_{i=1}^9 \rightarrow \sum_{i=1}^9 \pi_i \cdot w_m^{(i)}$$

Moreover if we have a rational quadratic norm on graded points

i.e. for each  $p \in \mathcal{M}(k)$  a positive definite quadratic norm  $b_{g_1}(-)$  with rat coef defined on  $\mathbb{R}^9$

with some compatibility conditions.

$\Rightarrow$  in this case: for all nondegenerate  $g: (\mathbb{B}G_m^9)_k \rightarrow \mathcal{M}$  with corresponding  $\gamma: (G_m^9)_k \rightarrow \text{Aut}(g_1 \text{ spec } k)$  we

set:

$$1) \gamma_1(\bar{\pi}) = \frac{L_g(\bar{\pi})}{\sqrt{b_{\gamma_1}(\bar{\pi})}}$$

There are various naturally defined families of line bundles on  $\text{Gr}^d(x)$

Let  $m \in \mathbb{Z}$  then the line bundle  $M_m$  on  $\text{Gr}^d(X)$  is given by:

Let  $T$  be an  $S$ -scheme

$$\begin{array}{ccc} X_T & \rightarrow & X \\ \pi_T \downarrow & & \downarrow \\ T & \rightarrow & S \end{array}$$

and let

$f: T \rightarrow \text{Gr}^d(X)$  correspond to a  $T$ -pure sheaf  $\overline{\mathcal{F}}$  on  $X_T$

Then  $f^* M_m := \det R_{\pi_T}^* (\overline{\mathcal{F}}(m))$

$\rightarrow M_m \in \text{Pic}(\text{Gr}^d(X))$  is a polynomial in the variable  $m$  of degree  $d+1$  with values in  $\text{Pic}$ . More precisely

we can decompose it as:

$$M_m = \bigotimes_{i=0}^{d+1} b_i \binom{m}{i} \quad \text{with } b_i := \bigotimes_{j=0}^i M_j (-1)^j \binom{i}{j}$$

and let  $L_m$  be st:  $f^* L_m := f^* M_m \otimes f^* b_d^{-1} \otimes \overline{\mathcal{F}}(m)$  where  $\overline{\mathcal{F}}$  is the reduced Hilbert polynomial

additionally let us define a rational quadratic norm on graded objects of  $\text{Gr}^d(X)$

def: let  $g: (\text{BG}_m^9)_K \rightarrow \text{Gr}^d(X)$  be a  $\mathbb{Z}^9$  graded pure sheaf  $\overline{\mathcal{F}} = \bigoplus \overline{\mathcal{F}}_{\overline{m}}$  of dim  $d$  on  $X_K$ .

we define  $b(g)$  by:

$$b(g) := \sum_{\overline{m} \in \mathbb{Z}^9} \text{rk}_{\overline{\mathcal{F}}_{\overline{m}}} \cdot (\overline{m} \cdot \text{st} \overline{v})^2$$

Note that the numerical invariant obtained in this way is the natural generalization of the previously defined enumerative invariants, we just changed the ordered set where they are valued. It is worth mentioning that they will enjoy all the benefits of the enumerative invariants if the polynomial  $w_m^{i_0}$  and ultimately if the family  $(L_m)$  has some boundedness condition (when  $m \rightarrow \infty$ ). See 9.6.1. Polynomial valued numerical invariants [HL 18]